

ASI0004-US

PATENT

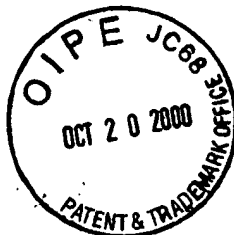
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Norman D. GEDDES, et al.

Serial No.: 09/598,750

Filed: June 22, 2000



Group Art Unit: 2761

Examiner: Not Assigned

For: METHOD AND SYSTEM FOR DYNAMIC BUSINESS PROCESS MANAGEMENT
USING A PARTIAL ORDER PLANNER

TRANSMITTAL OF MISSING PARTS OF NONPROVISIONAL APPLICATION

Assistant Commissioner for Patents
Box: MISSING PARTS
Washington, D.C. 20231

Sir:

Responsive to the Notice to File Missing Parts of Application -- Filing Date Granted mailed August 21, 2000, the following items are submitted herewith to complete the formal filing requirements for the above-identified application:

- (1) Declaration/Power of Attorney for this patent application executed by the inventors;
- (2) Copy of the Small Entity Statement which was filed with the application on June 22, 2000 (please see Utility Patent Application Transmittal and return postcard as proof of filing);
- (3) Check for the following:
 - \$65.00 for surcharge fee pursuant to 37 CFR § 1.16(e) for a small entity pursuant to 37 CFR § 1.27;

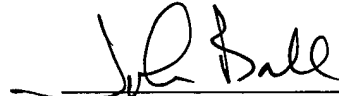
and

- (4) A copy of the Notice to File Missing Parts of NonProvisional Application -
Filing Date Granted mailed on August 21, 2000.

If any additional fees are required in connection with the filing of this response, the Commissioner is hereby authorized to charge the same, or to credit any overpayment, to Deposit Account No. 501458. A duplicate copy of this correspondence is enclosed for that purpose.

Respectfully submitted,

Date: 10/20/00
KILPATRICK STOCKTON LLP
700 13th Street, N.W., Suite 800
Washington, D.C. 20005
(202) 508-5800



John W. Ball (Reg. No. 44,433)
for George T. Marcou (Reg. No. 33,014)

39317/195432
WSHLIB01:84441

Please type a plus sign (+) inside this box ☐

Approved for use through 09/30/00. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | |
|---|---|
| UTILITY PATENT APPLICATION TRANSMITTAL | Attorney Docket No. ASI0004-US Total Pages: |
| First Named Inventor or Application Identifier Norman D. Geddes, et al. | |
| Express Mail Label No.: | |
| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231 |

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification Total Pages 42
(preferred arrangement as set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 usc 113) [Total Sheets] 8
4. ☒ Oath or Declaration [Total Pages] 2
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with box 17 completed) [Note Box 5 below]
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application. See 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (usable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney (when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
14. ☒ Small Entity Statement ☐ Statement filed in prior application, Status till proper and desired
15. ☐ Certified Copy of Priority Document(s) (If foreign priority is claimed)
16. ☐ Other: _____

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____ / _____

18. CORRESPONDENCE ADDRESS

| | | | | | | | | | | | |
|--|------------------|-------------------------|--------------|----------|----------|--|--|--|--|--|--|
| <input type="checkbox"/> Customer Number or Bar Code Label | | | | | | or <input type="checkbox"/> Correspondence address below | | | | | |
| Name | | George T. Marcou | | | | | | | | | |
| | | KILPATRICK STOCKTON LLP | | | | | | | | | |
| Address | | 700 13th Street, N.W. | | | | | | | | | |
| | | Suite 800 | | | | | | | | | |
| City | Washington | State | DC | Zip Code | 20005 | | | | | | |
| Country | U.S.A. | Telephone | 202.508.5800 | | Fax | 202.508.5858 | | | | | |
| Name (Print/Type) | George T. Marcou | | | | Reg. No. | 33,014 | | | | | |
| Signature | | | | | Date | 6/24/00 | | | | | |
| | | | | | | | | | | | |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Please return this card, indicating receipt date and Serial No., if applicable, of the following

Utility Application Transmittal; Fee Transmittal; Small Entity Statement; Specification (42pp); Drawings (8 pages); Unexecuted Declaration (2pp.) and check for \$408.00

Applicant(s): Norman D. Geddes, et al.

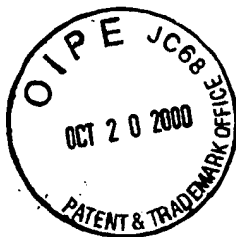
Title: METHOD AND SYSTEM FOR DYNAMIC BUSINESS PROCESS
MANAGEMENT USING A PARTIAL ORDER PLANNER

Serial No.: To be assigned

Filing Date: Herewith

Docket No.: ASI0004-US

Due Date: n/a



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09/598750



06/22/00

FORMALITIES LETTER

OC000000005337894

**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**Address: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/598,750 | 06/22/2000 | Norman D. Geddes | ASI0004-US |

George T Marcou
Kilpatrick Stockton LLP
700 - 13th Street N W
Suite 800
Washington, DC 20005



Date Mailed: 08/21/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**FILED UNDER 37 CFR 1.53(b)*****Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- Small Entity Statement is missing.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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